

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.
07754/040001

In Re Application Of: **Peter John TRAYNOR et al.**

Serial No.

Filing Date

Examiner

Group Art Unit

Title: **CARBON NANOTUBE ELECTRON IONIZATION SOURCES**

Address to:

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- the statement specified in 37 CFR 1.97(e);

OR

- the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
07754/040001

In Re Application: Peter John TRAYNOR et al.

Serial No.

Filing Date

Examiner

Group Art Unit

CARBON NANOTUBE ELECTRON IONIZATION SOURCES

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. **50-0591** as described below.
- Charge the amount of _____
- Credit any overpayment.
- Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Jerry S. Osha — *45,925*
Signature

Dated: *1/12/03*

Jonathan P. Osha, Reg. No. 33,986

ROSENTHAL & OSHA L.L.P.

1221 McKinney Street, Suite 2800

Houston, Texas 77010

Telephone: 713-228-8600

Faxsimile: 713-228-8778

CC:

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) 07754/040001		Application Number		
				Applicant(s) Peter John TRAYNOR et al.				
				Filing Date		Group Art Unit		
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	A1	6,080,985	06/27/2000	Welkie et al.	250	287	09/30/1997	
	A2	5,543,625	08/06/1996	Johnson et al.	250	427	05/20/1994	
	A3	5,852,295	12/22/1998	Da Silveira et al.	250	423 R	04/07/1997	
	A4	6,333,016 B1	12/25/2001	Resasco et al.	423	447.3	09/03/1999	
FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
	AS	M. E. Read, et al., "Carbon Nanotube-Based Cathodes for Microwave Tubes", IEEE Proceedings of the 2001 Particle Accelerator Conference, Chicago, Illinois, Junel8-22, 2001, Pages 1026 - 1028						
EXAMINER				DATE CONSIDERED				

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Peter John TRAYNOR et al.

Docket No.

07754/040001

Serial No.

Filing Date

Examiner

Group Art Unit

Invention: CARBON NANOTUBE ELECTRON IONIZATION SOURCES

I hereby certify that this Information Disclosure Statement*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: Director of the United States Patent and Trademark Office, P.O. Box
1450, Alexandria, VA 22313-1450 on

November 12, 2003*(Date)*Tawana L. Garcia*(Typed or Printed Name of Person Mailing Correspondence)*Tawana L. Garcia*(Signature of Person Mailing Correspondence)*EL 974016585 US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**